Firm PTO-1449 (modified)

of Patents and Publications for Applicant's

Atty. Docket No. 2039.015700/SAW Serial No. 10/717,297

Applicant

Richard P. Schmidt/James A. Solis/Ta Yen Ching

Information Disclosure Statement

(Use several sheets if necessary)

Filing Date: November 19, 2003 Group: Unknown

U.S. Patent Documents
See Page 1

Foreign Patent Documents See Page 2 Other Art

See Page 2

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
KAT	Al	5,211,875	05/18/93	Speer et al.	252	188.28	
	A2	5,346,644	09/13/94	Speer et al.	252	188.28	
	A 3	5,425,896	06/20/95	Speer et al.	252	188.28	
	A4	5,498,364	03/12/96	Speer et al.	252	188.28	
	A 5	5,627,239	05/06/97	Ching et al.	525	330.6	
	A6	5,641,825	06/24/97	Bacskai et al.	524	398	
	A7	5,656,692	08/12/97	Hayes	525	63	
	A8	5,660,761	08/26/97	Katsumoto et al.	252	188.28	
	A9	5,700,554	12/23/97	Speer et al.	428	220	
	A10	5,736,616	04/07/98	Ching et al.	525	330.3	
	A11	5,776,361	07/07/98	Katsumoto et al.	252	188.28	
	A12	5,859,145	01/12/99	Ching et al.	525	330.6	
	A13	6,057,013	05/02/00	Ching et al.	428	35.7	
V	A14	5,811,027	09/22/98	Speer et al.	252	188.28	
MAT	A15	3,536,687	10/27/70	Nordstrom	260	89.5	

Examiner:	m. S. Trexton	Date Considered: 2005
-----------	---------------	-----------------------

Form PTO-1449 (modified)		Atty. Docket No. 2039.015700/SAW	Serial No. 10/717,297	
List of Patents and Publications for INFORMATION DISCLOSURE S	• •	Applicant Richard P. Schmidt/James A. Solis/Ta Yen Ching		
(Use several sheets if necess	ary)	Filing Date: November 19, 2003	Group: Unknown	
		atent Documents ee Page 2	Other Art See Page 2	

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
MAT	BI	WO99/48963	09/30/1999	PCT	-		
pes?	B2	WO98/51758	11/19/1998	PCT			
MAT	В3	WO99/38914	08/05/1999	PCT			
MIT	B4	WO97/32722	09/12/1997	PCT			3-1-1

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
YAT	C1	Ching et al., "Tasteless Oxygen Scavenging Polymers: A New Platform Technology for Food Packaging Based On Controlled Oxidation," Oxygen Absorber 2001 and Beyond, OSP Conference, pages 1-8 (Chicago, June 19-20, 2000)
UT-	C2	Ching et al., "Tasteless Oxygen Scavenging Polymers: A New Platform Technology for Food Packaging Based On Controlled Oxidation," Oxygen Absorber 2001 and Beyond, OSP Conference Slides (Chicago, June 19-20, 2000)

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

stitute for form 1449/PTO

Sheet 1

AT THE ADMINISTRATION INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 5

Complete if Known **Application Number** 10/717,297 Filing Date November 19, 2003 First Named Inventor Richard P. Schmidt Art Unit **Examiner Name Attorney Docket Number** 210151US00

		· ·	U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number Number-Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MAT	AA	US- 3;454,510 A	07/08/1969	Newland	
MAT	AB	^{US-} 3,497,571 A	02/24/1970	Tellier et al.	
	AC	US- 3,536,687 A	10/27/1970	Nordstrom	
MAT	AD	^{US-} 4,134,927 A	01/16/1979	Tomoshige	
MAT	AE	^{US-} 4,396,730 A	08/02/1983	lmahashi	
MAT	AF	^{US-} 4,415,710 A	11/15/1983	Barnabeo et al.	
MAT	AG	^{US-} 4,524,201 A	06/18/1985	Barnabeo et. al.	
MAT	ΑĤ	^{US-} 4,775,593 A	10/04/1988	Heberger	
MAT	Al	^{US-} 5,116,916 A	05/26/1992	Young	
•	AJ_	US- 5,211,875 A	05/18/1993	Speer et al. —	
MAT	AK	^{US-} 5,306,760 A	04/26/1994	Sullivan	
	AL	US- 5,346,644 A	09/13/1994	Speer et al.	
	AM	^{US-} 5,425,898 A	06/20/1995	Speer et al.	
MAT	AN	^{US-} 5,466,756 A	11/14/1995	Roach et al.	
	AO	US- 5,498,364 A	03/12/1966	Speer et al.	
	AP	us- 5,627,239 A	05/06/1897	Ching et al.	
	AQ	US- 5,641,825 A	06/24/1997	Bacskai et al.	
	AR	^{US-} 5,656,692 A	08/12/1997	Hayes —	
	AS	^{US-} 5,660,761 A	08/26/1997	Katsumoto et al.	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear				
MAT	ВА	JP 56136347	10/24/1981	Mitsui	Abstract				
MAT	BB	JP 62177067	08/03/1987	Shigeo					
MAT	BC	EP 0 059 883	09/15/1982	Kang et al.		\Box			
MAT	BD	EP 0 507 207	10/07/1992	Roberts et al.					
MAT	BE	EP 0 520 257	12/30/1992	Speer et al.					
MAT	BF	GB 1 232 194	05/19/1971	Kansai Paint Company					

Examiner		Date	1		,
	MADON	1	200	44 4 4	~ ?
Signature	1 / A / Nexton	Considered	1000	MY	<i>a</i> 5
	7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	<u> </u>			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 2 See Kinds Codes of *EXAMINER: initial if reference considered, whether or not citation is in conformance with MPCP ovs. Draw line through citation in not in conformance and not considered, Indude copy of this form with next communication to applicant. *Applicants unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitu	Substitute for form 1449/PTO			Complete if Known		
Jubsuu	10 10 10111 1437 10			Application Number	10/717,297	
INF	ORMATION	DIS	CLOSURE	Filing Date	November 19, 2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	Richard P. Schmidt	
	(Use as many she	ate se s	ocaseand	Art Unit		
	(USO as many sine	1013 03 11	eccosary)	Examiner Name		
Sheet	2 .	of	5	Attorney Docket Number	210151US00	

		NON PATENT LITERATURE DOCUMENTS		
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	CA	CHING et al., "Tasteless Oxygen <u>Scavenging Polymer: A New Platform Technology for Food Packaging Based On Controlled Oxidation,"</u> Oxygen Absorber 2001 and Beyond, OSP Conference, pages 1-8 (Chicago, June 19-20, 2000)		
	GB	CHING et al., "Tasteless Oxygen Scavenging Polymer: A New Platform Technology for Food Packaging Based On Controlled Oxidation," Oxygen Absorber 2001 and Beyond, OSP Conference, Slides (Chicago, June 19-20, 2000)		
MAT	СС	International Search Report, PCT/US01/02034, 06/05/2001, 4 pages		
MAT	CD	Written Opinion, PCT/US01/02034, 12/10/2001, 5 pages		
mot	CE	International Preliminary Examination Report, PCT/US01/02034, 04/22/2002, 5 pages		
M 49	CF	International Search Report, PCT/US01/28412, 03/18/2002, 5 pages	•	
MAT	CG	Written Opinion, PCT/US01/28412, 05/27/2002, 2 pages		
MAT	СН	Response to Written Opinion, PCT/US01/28412, 07/18/2002, 12 pages		
MAT	CI	International Preliminary Examination Report, PCT/US01/28412, 11/27/2002, 15 Pages		
MAT	C1	International Search Report, PCT/US 01/31968, 01/31/2002, 6 pages		

Examiner Signature	M. S. Thesoton	Date Considered	7005	MIV 20
Signature		Considered	, , ,	, , , , , ,

^{**}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for form 1449/PTO	Complete if Known		
		Application Number	10/717,297	
	INFORMATION DISCLOSURE	Filing Date	November 19, 2003	
		First Named Inventor	Richard P. Schmidt	
	STATEMENT BY APPLICANT	Art Unit		
	(Use as many sheets as necassary)	Examiner Name		
$\overline{}$	Sheet 3 of 5	Attorney Docket Number	210151US00	

Examiner Initials*	Cite No.		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (f known)}			
	AT	US- 5,700,554 A	12/23/1997	Speer et al.	
	AU	-US- 5,730,616 A	04/07/1998	Ching et al.	
	AV	^{US-} 5,776,361 A	07/07/1998	Katsumoto et al.	
	AW	US- 5,811,027 A	09/22/1998	Speer et al.	
MAT	AX	^{US-} 5,837,158 A	11/17/1998	Shepodd et al.	
	AY	US- 5,859,145 A	01/12/1999	Ching et al.	
MAT	AZ	^{US-} 5,955,524 A	09/21/1999	Azuma et al.	
MAT	DA	^{US-} RE36,234 E	06/22/1999	Koskan et al.	
	DB	US- 6,057,013 A	05/02/2000	Ching et al.	
MAT	DC	^{US-} 6,063,307 A	05/16/2000	Shepold et al.	
ı	DE	^{US-} 6,313,193 B1	11/06/2001	Simendinger	
	DF	^{US-} 6,437,086 B1	08/20/2002	Ching et al.	
	DG	^{US-} 6,664,320 B1	12/16/2003	Cai et al.	
	DH	^{US-} 5,399,289 A	03/21/1995	Speer et al.	
	DI	^{US-} 5,981,676 A	11/09/1999	Gauthier et al.	
1	DJ	^{US-} 6,083,585 A	07/04/2000	Cahill et al.	
-	DK	^{US-} 20020081358 A1	06/27/2002	Galland et al.	
MAT	DL	^{US-} 20030153644 A1	08/14/2003	Yang et al.	
	DM	US-			

		FORE	IGN PATENT DOC	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Applicant of Cited Document Where Relevant Pass	Pages, Columns, Lines, Where Relevant Passages	
•••		Country Code ³ Number ⁴ Kind Code ³ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁰
MAT	BG	WO 96/40799	12/19/1996	Ching et al.		
	вн	WO 97/32722	09/12/1997	Ching et al.		
MAT	ВІ	WO 97/32925	09/12/1997	Bansleben et al.		
MAT	BJ	WO 98/06779	02/19/1998	Katsumoto et al.		
•	BK	WO 98/51758	11/19/1998	Katsumoto et al.		Г
	BL	WO 99/38914	08/05/1999	Schmidt ot al.		Т

Examiner		Date	
Signature	Mideliant	Considered	2005 MAY 20
	The state of the s		900) 1904 P

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)
Approved for use through 07/31/2005. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitu	te for form 1449/PTO			Complete if Known		
	10 101 101111 1443/17			Application Number	10/717,297	
INF	ORMATION	DIS	CLOSURE	Filing Date	November 19, 2003	
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	Richard P. Schmidt	
	(llan an many abo			Art Unit		
	(Use as many she	ets as n	ecessaryj	Examiner Name		
Sheet	4	of	5	Attorney Docket Number	210151US00	

		· All and selection of the first part of the fir	
		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MAT	ск	First Written Opinion, PCT/US 01/31968, 06/24/2002, 2 pages	
MAT	CL	Second Written Opinion, PCT/US 01/31968, 06/25/2002, 7 pages	
MAT	СМ	Response to Written Opinions, PCT/US 01/31968, 09/03/2002, 2 pages	
MAT	CN	Third Written Opinion, PCT/US 01/31968, 10/02/2002, 6 pages	
MAT	со	International Preliminary Examination Report, PCT/US 01/31968, 02/28/2003, 6 pages	
MIT	CP	International Search Report, PCT/US2004/032910, 02/08/2005, 3 pages	
MIT	CQ	Written Opinion, PCT/US2004/032910, 02/08/2005, 5 pages	
	CR	·	
	cs		
	СТ		

Examiner	M. S. Therton	Date Considered	2005 MAY 20
Signature	vec. N, Mexiva	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/717,297 Filing Date November 19, 2003 INFORMATION DISCLOSURE First Named Inventor Richard P. Schmidt STATEMENT BY APPLICANT Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number 210151US00 of 5 Sheet 5

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (F known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	DN	US-	 				
	DO	US-					
	DP	US-					
	DQ	US-					
	DR	US-					
	DS	US-					
	DT	US-					
	DU	US-					
	DV	US-					
	DW			- 11			
	DX	US-					
	DY	US-		·····			
	DZ	US-					
	ļ	US-					
		US-					
	ļ	US-					
	<u> </u>	US-			,		
	<u> </u>	US-					
		US-			1		

		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
	BM-	WO 99/48963	09/30/1999	Ching et al.		
MAT	BN	WO 00/11972	03/09/2000	Jerdee et al.		
MAT	ВО	WO 03/053171	07/03/2003	Yang et al.		
MIST	BP	WO 95/02616	01/26/1995	Ching et al.		
	BQ					
	BR					

Examiner		Date	
Signature	M.S. Thex for	Considered	2005 MAY 20

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Mind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.